

Recurrent DNA copy number alterations in intestinal-type sinonasal adenocarcinoma*

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Abstract

Background: Intestinal-type sinonasal adenocarcinoma (ITAC) is a rare tumour related to occupational wood dust exposure. Few studies have described recurrent genetic changes on a genome-wide scale. The aim of this study was to obtain a high resolution map of recurrent genetic alterations in ITAC.

Material and methods: Copy number alterations were evaluated by microarray CGH and MLPA in 37 primary tumours. The results were correlated with pathological characteristics and clinical outcome.

Results: Microarray CGH identified the following recurrent aberrations, in descending order: gains at 5p15 (22 cases, 60%), 8q24 (21 cases, 57%), 20q13 (20 cases, 54%), 20q11, and 8q21 (19 cases, 51%), 20p13, and 7p11 (16 cases, 43%), and losses at 5q11-qter, 8p12-pter, and 18q12-23 (15 cases, 40%), and 17p13, and 19p13 (13 cases, 35%). MLPA analysis confirmed this global pattern of gains and losses. Chromosomal loss at 4q32-ter and gains at 1q22, 6p22 and 3q29, as well as deletion of TIMP2 and CRK correlated with unfavourable clinical outcome.

Conclusion: ITACs have a unique pattern of chromosomal abnormalities. The four different histological subtypes of ITAC appeared genetically similar. Four chromosomal gains and losses and two specific genes showed prognostic value and may be involved in tumour progression.

Key words: ITAC, sinonasal adenocarcinoma, microarray CGH, MLPA, DNA ploidy

Introduction

ITAC represents approximately 25% of all malignant sinonasal tumours. It arises almost exclusively in the ethmoid sinus and is strongly related to occupational wood and leather dust exposure⁽¹⁻⁵⁾. The median age of onset lies between 50 and 60 years^(1,2,6). Four main histopathological subtypes are distinguished: papillary, colonic, solid and mucinous (alveolar goblet and signet ring) type, while a proportion of cases display more than one subtype⁽⁷⁾. ITAC tumours have a low tendency to develop lymphatic or distant metastases, however, local recurrences are very frequent and constitute the main cause of death⁽⁸⁻¹⁰⁾. The combined use of both surgery and radiotherapy is reported in the literature as the gold standard treatment⁽¹⁻²⁾. ITAC patients carry a poor prognosis, with a 5-year survival between 40% and

60%⁽⁶⁻¹³⁾. Both disease stage and histopathological subtype are of prognostic value^(1,7,14).

The low incidence and the apparent lack of early lesions precursor to ITAC makes its study difficult. Hence, little is known about the genetic changes involved in the development of ITAC. Most genetic studies on ITAC have focussed on specific genes involved in colorectal adenocarcinoma (CRC) because of their histological similarities. Comparing with CRC, these studies have indicated less frequent mutation in TP53 and activation of Wnt/ β -catenin, while K-ras or BRAF mutations and microsatellite instability (MSI) are almost absent⁽¹⁴⁻²⁴⁾. A number of studies reported EGFR overexpression in 20-32% of ITACs, and absence of mutations⁽²⁵⁻²⁷⁾. This demonstrates that ITAC is not genetically similar to CRC. Until the present moment, three genome-wide

analyses using classical and microarray CGH have been published⁽²⁸⁻³⁰⁾. These studies showed that, with an exception for the papillary subtype, most ITACs carry complex karyotypes with hotspots of chromosomal gains at 5p, 7q, 8q, 12p and 20q, and losses at 4q, 5q, 8p, 17p and 18q. Either due to a low number of cases or to the absence of clinical and follow-up data, neither of these studies has come up with specific genetic events that are related to prognosis.

In this study, we have analysed 37 clinically well-described primary tumours by means of microarray CGH. For confirmation of the results, we performed MLPA (multiplex-ligation probe amplification), that included 65 cancer-related genes. In addition, we investigated the DNA ploidy status. Our aim was to obtain a high resolution map of recurrent genetic alterations and to correlate the genetic results with histopathological characteristics and clinical outcome.

Materials and methods

Tissue samples

All 37 cases analysed in this study arose in the ethmoid sinus region and were obtained from previously untreated male patients seen between 1998 and 2007. Informed consent was obtained from all patients. Tumour material was obtained through the Biobank Service and the study was approved by the ethical committee of our institute. All patients underwent radical surgery and in all cases resection margins were free of tumour. Thirty-four patients have had occupational exposure to wood dust with a mean of 33 years (range: 5-60 years), and 18 were tobacco and alcohol users. The mean age was 65 years (range 45-92 years). Nine tumours were stage I, seven stage II, ten stage III, and 11 stage IV. No patient had metastases at the time of diagnosis. Our series comprised of 4 papillary, 15 colonic, 5 solid and 13 mucinous type ITACs. Follow-up information was available with an average of 63 months (range 1-259). The 5 year survival rate was 41%. All clinical data are given in Table 1.

Flow cytometry

DNA ploidy was measured by flow cytometry as earlier described⁽³⁰⁾. In short, fresh tissue was disaggregated mechanically, suspended in citrate-phosphate-buffered solution, and stained with propidium iodide. Specimens were measured with the Cytoron flow cytometer (Ortho Diagnostic Systems), and results analysed according to the guidelines for implementation of clinical DNA cytometry.

Microarray CGH

Tumour DNA as well as normal reference DNA from blood of female donors was extracted using a Qiagen extraction kit (Qiagen GmbH, Hilden, Germany). Microarray-CGH was carried out as described previously⁽³¹⁾. Briefly, sample DNA and reference

Table 1. Clinical and follow-up data of 37 ITAC patients.

Feature	Number (%)
Localisation	
Ethmoid sinus	37 (100%)
Gender	
Male	37 (100%)
Disease stage	
I	9 (24%)
II	7 (19%)
III	10 (27%)
IV	11 (30%)
Histopathological type	
Papillary	4 (11%)
Colonic	15 (41%)
Solid	5 (13%)
Mucinous	13 (35%)
Wood exposure	
No	3 (8%)
Yes	34 (92%)
Smoker	
No	19 (51%)
Yes	18 (49%)
Radiotherapy	
No	12 (32%)
Yes	25 (68%)
Intracranial invasion	
No	27 (73%)
Yes	10 (27%)
Distant metastasis	
No	31 (84%)
Yes	6 (16%)
Local recurrence	
No	17 (46%)
Yes	20 (54%)
Patient status	
Alive	15 (41%)
Died of disease	19 (51%)
Died of other causes	3 (8%)

DNA (pooled from 10 different healthy female donors) were differently labelled by random priming. Three hundred ng test and three hundred ng reference DNA were hybridized to a 30,000 oligonucleotide array printed on Codelink activated slides (Amersham Biosciences, Barcelona, Spain). This array contained 29,134 oligos covering 28,830 unique genes. Hybridization and washing took place for two nights in a specialized hybridization chamber (GeneTAC/HybArray12 hybridization station (Genomic Solutions/

Perkin Elmer). Images were acquired using a Microarray Scanner G2505B (Agilent Technologies). Analysis and data extraction were quantified by BlueFuse (BlueGnome, Cambridge, UK). The pooled female reference DNA served as an internal control of quality; since all tumours came from male patients, the chromosome X clones normally showed loss whereas the chromosome Y clones always showed gains. Normalization of the calculated ratios was done against the mode of all ratios. Graphics were plotted using a moving average of log₂ ratios of 5 neighbouring clones. Gains and losses were defined as at least two neighbouring clones with deviations of 0.2 or more from log₂ ratio=0.0. High level amplification was considered when at least two neighbouring clones reached a log₂ ratio of 1.0 or higher.

MLPA

MLPA was performed as described in detail previously⁽³²⁾. Three kits were used, together analysing 65 cancer related genes distributed throughout the genome (SALSA P084, SALSA P005 and SALSA P105, MRC-Holland, Amsterdam, the Netherlands). Each probe is composed of two parts that hybridize to adjacent target sequences in the DNA. After a ligation step and a PCR amplification, each probe gives rise to a product with a unique size between 130 and 480 bp. Briefly, 100 ng DNA was denatured at 98°C for 5 min and hybridized with the MLPA probe mixture at 60°C for 16 hours. Ligation of the two parts of each probe was preformed by a thermostable ligase. All probe ligation products have the same end sequences and were amplified by PCR using the same primer pair for 60°C 1 min, 33 cycles of 95°C 30 sec, 60°C 30 sec and 72°C 1 min, followed by 20 min at 72°C and kept cold at 4°C. The products were subsequently analysed on an ABI Prism 3100 sequencer and by GeneScan v3.7 software (Applied Biosystems, Warrington, UK).

Fourteen control experiments using normal DNA from 7 different donors were used to calculate median reference values and the standard deviations for every probe. Each tumour sample was analysed at least twice. For every gene, the relative copy number was calculated by dividing the average relative peak area of the tumour by the median relative peak area of the normal reference samples. When tumour/control ratio was higher than 1.2 and the standard deviation was > 1 was interpreted as gain. If the ratio was lower than 0.8 and the standard deviation was < 1 was interpreted as loss. It was interpreted as amplification when tumour/control ratio was higher than 2 and the standard deviation was also higher than 2.

Statistical analysis

The statistic analysis was carried out using Student's test, Pearson and Fisher Chi-square test. Survival estimation was analysed by Kaplan-Meier statistics with the log rank test. P-values < 0.05 were considered significant. Copy number losses and gains were tested for univariant correlation with clinico-pathological and

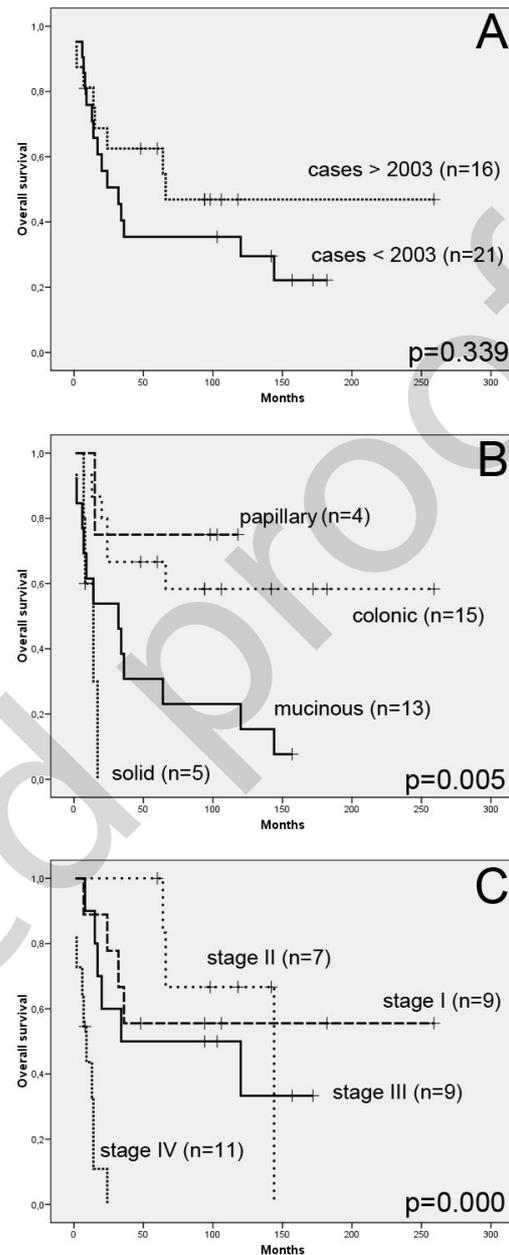


Figure 1. Kaplan–Meier survival analysis. (A) Overall survival of 37 patients according to years of treatment. (B) Overall survival of 37 patients according to histological subtype. (C) Overall survival of 37 patients according to disease stage.

cytometric characteristics (disease stage, histological type, local recurrence, intracranial invasion, metastasis, overall survival, and DNA ploidy).

Results

Follow-up

Twenty-five patients received radiotherapy after surgery. During the time of follow-up, 6 patients developed metastasis and 20 had local recurrence. The 5 year overall survival of all patients

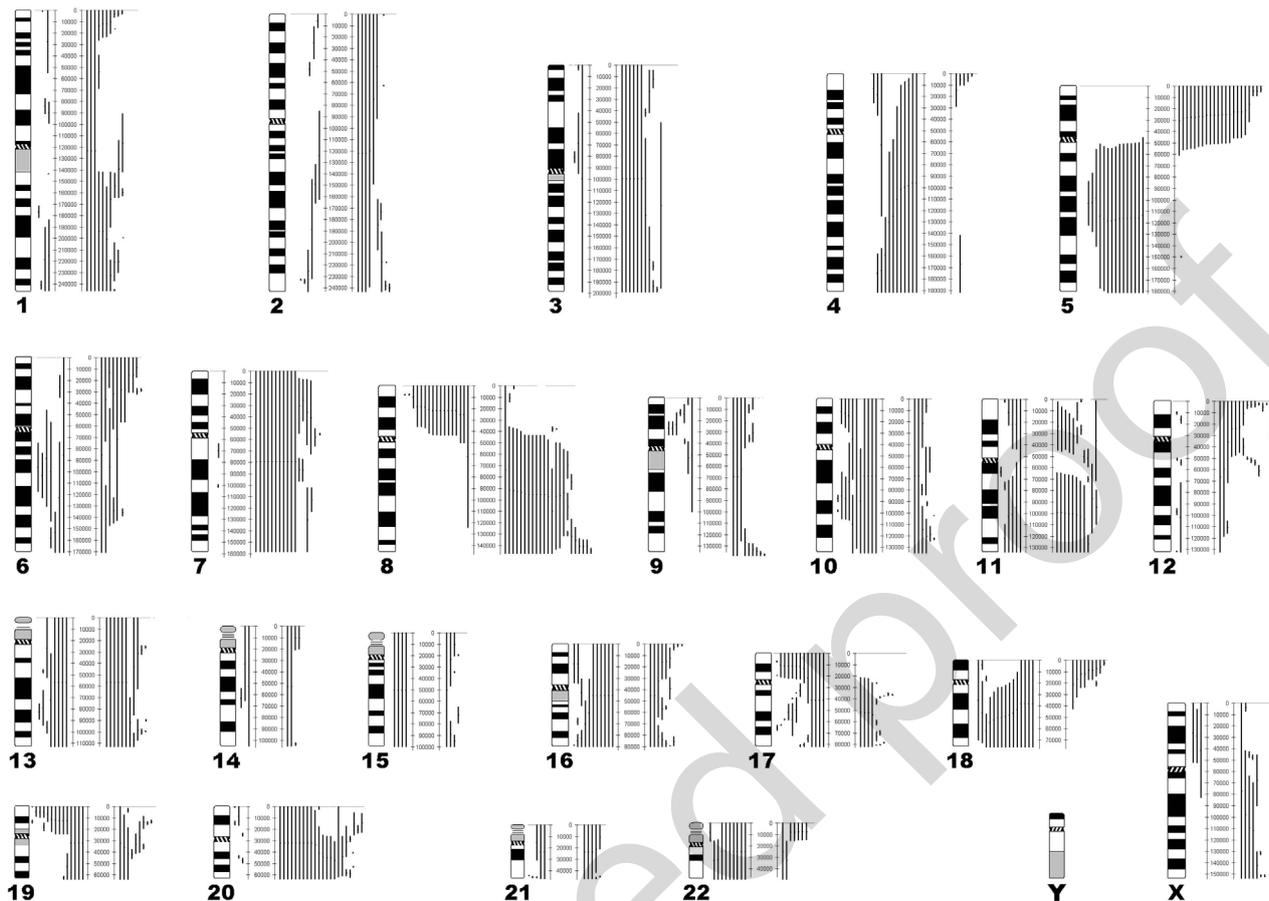


Figure 2. Overview of all copy number changes as detected by microarray comparative genomic hybridization (CGH). To the right of the pictogram of each chromosome, a scale is placed expressing the number of megabase pairs (Mpb) counting from pter to qter. Copy number losses are presented as bars left to the Mbp-scale and copy number gains to the right.

was 41%, however, a comparison of 21 patients treated in the years 1998-2003 with 17 patients in the years 2004-2008 revealed an improvement of the 5-year overall survival from 35% to 63% (Figure 1). In addition, papillary and colonic type ITAC as well as tumour stages I - III showed a significantly better clinical outcome (Figure 1). At the time of writing, 15 patients were alive, 19 died of disease and 3 died of other causes.

Genetic results

DNA ploidy measurement was successful in 34 tumours. Twenty-two (65%) tumours were aneuploid, ranging from 1.1 to 2.3, and 12 cases (35%) were diploid.

Microarray CGH analysis revealed DNA copy number alterations in all cases. An overview of all gains and losses observed in the 37 cases is given in Figure 2. The major recurrent gains were detected at chromosome bands 5p15 (22 cases, 60%), 8q24 (21 cases, 57%), 20q13 (20 cases, 54%), 20q11, and 8q21 (19 cases, 51%), 20p13, and 7p11 (16 cases, 43%), 12p13 (13 cases, 35%), and 3q29 (11 cases, 30%). Frequent losses were detected at 5q11-qter, 8p12-pter, and 18q12-23 (15 cases, 40%), and 17p13,

and 19p13 (13 cases, 35%). High level amplification was found at 7p12 and 8p11 (3 cases), and at 8q24, 11p13 and 19p11 (2 cases). The exact size and genomic position of these regions are presented in Table 2. DNA diploid cases showed significantly fewer copy number changes than DNA aneuploid cases (6.6 versus 15.1, Student's t-test, $p=0.0001$).

MLPA identified copy number alterations in one or more of the 65 analysed genes in all 37 tumours. Recurrent gains were observed for PTP4A3 (24 cases, 65%), RECQL4 (22 cases, 60%) both at 8q24, PTPN1 at 20q13 (17 cases, 46%), EGFR at 7p12 (17 cases, 46%), and BCL2L1 at 20q11 (15 cases, 41%), and frequent losses of CRK at 17p13 (16 cases, 43%), CTSB at 8p22 (16 cases, 43%) and TIMP2 at 17q25 (15 cases, 41%). Figure 3 gives an overview of all MLPA findings. A total of fourteen gene amplifications were detected, of which EGFR at 7p11 and MYC and RECQL4 at 8q24 occurred in two or more cases.

Clinico-pathological correlations

Diploid tumours had more favourable clinico-pathological features, including lower stage (50% versus 32% stage I+II), less

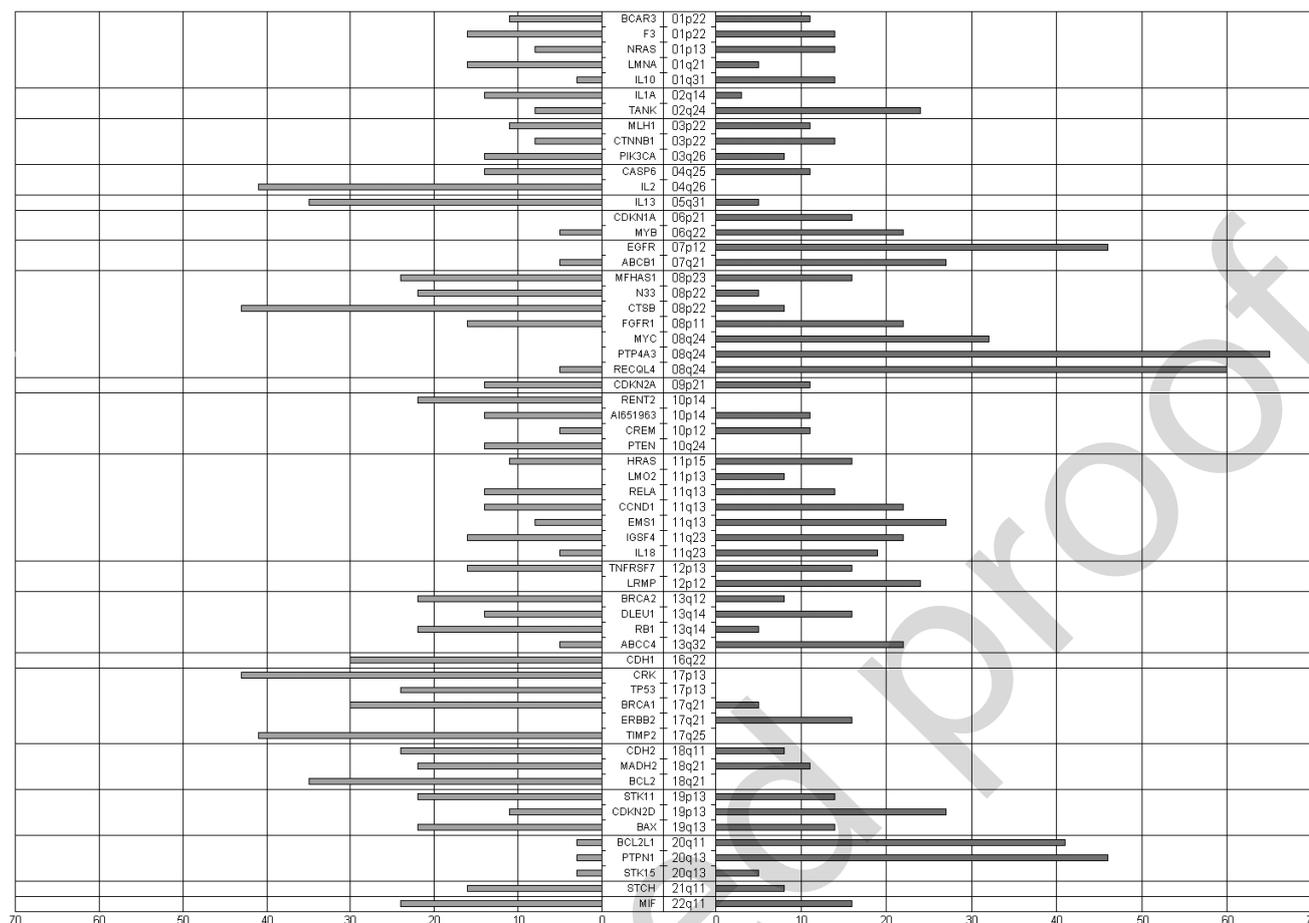


Figure 3. Genetic alterations in 37 primary ITACs detected by MLPA. All the probes analysed are presented according to their chromosomal location. Rows to the right represent % of gains and rows to the left represent % of losses.

intracranial invasion (10% versus 41%) and better prognosis (50% versus 68% died of disease), although this did not reach significance. Of the four papillary type tumours, 75% were diploid and carried on average 5.5 copy number alterations, in contrast to the combined colonic, solid and mucinous type tumours, that showed only 25% of cases diploid and an average of 12.0 copy number alterations.

Thirty-four chromosomal regions by microarray CGH and 65 genes by MLPA frequently showing gain or loss were statistically analysed for correlation with clinical outcome. A significantly worse overall survival was observed for cases carrying microarray CGH losses at 4q32-ter and gains at 1q22, 6p22 and 3q29, or carrying MLPA losses of TIMP2 at 17q21 and CRK at 17p13 (Figure 4). Cases with one or more of these aberrations also carried worse clinico-pathological characteristics.

Discussion

We have presented a genome-wide and detailed map of recurrent DNA copy number abnormalities in ITAC using microarray CGH, and this map was confirmed by MLPA analysis of 65 genes.

As in most solid tumours, a proportion of cases was diploid, displayed relatively few copy number alterations and in general followed a less aggressive clinical course. Approximately two thirds of cases were aneuploid, carried a multitude of gains and losses and had worse clinical outcome. It remains to be clarified if these tumours represent another class of ITAC with a distinct genetic pathway of tumourigenesis. Among the few copy number aberrations in this subset of ITAC, the most frequent were gains of 5p15, 7p11 and 20q13. These genetic changes may be regarded as early events.

Genetic studies on other tumours from the head and neck area such as squamous cell carcinoma (HNSCC) have reported frequent gains at 3q, 5p, 7p, 7q, 8q, 11q13, and 20q, and losses at 3p, 5q, 8p, 9p, 11qter, and 18q⁽³³⁾. Our data on ITAC show notable differences with HNSCC, including losses at 3p and gains at 3q, 4q and 11q13. These regions are hardly affected in ITAC. ITAC had more chromosomal changes in common with CRC, although gains at 5p, frequent in ITAC, are almost absent in CRC⁽³⁴⁾. Although many copy number alterations concerned large stret-

Table 2. Recurrent DNA copy number alterations by microarray CGH and MLPA.

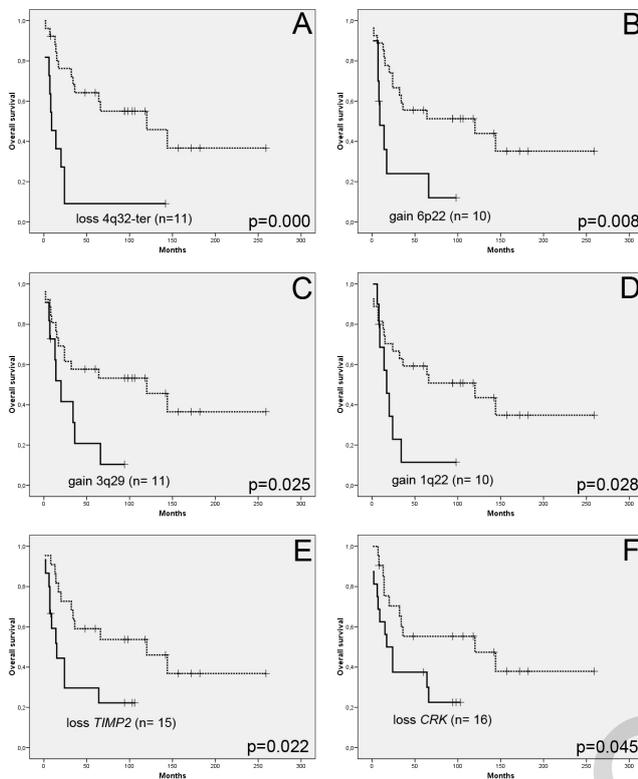


Figure 4. Kaplan–Meier survival analysis. (A) Overall survival of 37 patients according to the presence of 4q32-ter copy number loss. (B) Overall survival of 37 patients according to the presence of 6p22 copy number gain. (C) Overall survival of 37 patients according to the presence of 3q29 copy number gain. (D) Overall survival of 37 patients according to the presence of 1q22 copy number gain. (E) Overall survival of 37 patients according to the presence of TIMP2 copy number loss. (F) Overall survival of 37 patients according to the presence of CRK copy number loss.

ches of chromosomes, the high resolution of the data allowed to narrow down common regions of overlap of a number of gains and losses and thus point to a limited number of candidate genes that may play a role in ITAC tumourigenesis.

At 7p12, the location of EGFR, gains were found in 16 cases, including 3 high level amplifications. EGFR is a member of the erbB family of tyrosine kinase receptor proteins, and regulates proliferation, angiogenesis, apoptosis, metastatic dissemination, and responsiveness to chemotherapy. Overexpression of EGFR in ITAC has been reported in approximately 20-32% of cases⁽²⁵⁻²⁷⁾. Mutations in EGFR have not been reported for ITAC^(25,35). EGFR plays an important role in the pathogenesis of both HNSCC and CRC, where it is expressed in up to 80% of tumours and it has been associated with poor survival and risk of relapse^(36,37). In

Chromosome	maCGH Gains (%)	From-To (Mbp)	Gene(s)*	MLPA Gains (%)
5p15	22 (60%)	1-5.3	x	
8q24.3	21 (57%)	142.1-143.2	PTP4A3	24 (65%)
20q13	20 (54%)	55.2-61.0	PTPN1	17 (46%)
20q11	19 (51%)	26.1-30.9	BCL2L1	15 (41%)
8q21	19 (51%)	77.4-80.9	x	
20p13	16 (43%)	1-2.5	x	
7p11	16 (43%)	54.4-55.8	EGFR	17 (46%)
12p13.3	13 (35%)	1.8-3.2	LRMP	9 (24%)
3q28	11 (30%)	194.7-198.9	x	
1p36	10 (27%)	1-3.5	x	
1q22-23	10 (27%)	156.4-162.7	x	
6p22	10 (27%)	27.2-29.9	x	
11q13	10 (27%)	68.2-71.4	EMS1, CCND1	8-10 (22-27%)
11q14-22	10 (27%)	79.4-109.6	x	
12p13.2	10 (27%)	9.2-12.7	TNFRSF7	6 (16%)
13q33	10 (27%)	101.2-102.0	x	
1q42	9 (24%)	210.2-229.9	x	
9q34	9 (24%)	136.7-138.2	x	
13q31	9 (24%)	88.9-90.6	x	
18p	9 (24%)	1-4.4	x	
11p14-15	8 (22%)	22.7-30.8	HRAS	6 (16%)
Xq28	8 (22%)	150.6-152.1	x	
Amplifications		Amplifications		
6q23	2	132.5-138.7	cMYB	0
7p12	3	54.4-55.8	EGFR	3
8p11	3	37.6-38.7	FGFR1	0
8q24	2	128.3-129.1	cMYC	3
11p13	2	24.7-27.6	x	
11p13	2	44.9-46.9	x	
19p13	2	13.2-14.1	x	
Losses (%)		Losses (%)		
5q11-qter	15 (40%)	83.4-121.8	x	
8p12-pter	15 (40%)	7.2-8.2	MHAS, CTSB, N33	8-16 (22-43%)
18q12-23	15 (40%)	46.9-76.1	BCL2	13 (35%)
17p13	13 (35%)	6.6-7.7	TP53, CRK	9-16 (24-43%)
19p13	13 (35%)	1-8.9	x	
4qter	11 (30%)	158.0-191.7	x	
10q21	11 (30%)	68.2-70.3	x	
10q22-23	11 (30%)	84.3-89.9	PTEN	5 (14%)
16q24	11 (30%)	88.6-88.9	x	
22q	9 (24%)	15.5-49.3	MIF	
17q21	8 (22%)	39.2-39.9	ERBB2	0 (0%)
17q25	6 (16%)	80.1-81.7	TIMP2	15 (41%)

our series, EGFR copy number gains did not correlate with any of the clinico-pathological parameters and does not seem to be of prognostic value, confirming previous findings in ITAC (25-27). Nonetheless, EGFR can be of relevance for a possible treatment of ITAC with specific inhibitors, analogous to other tumours as lung cancer, colorectal cancer or head and neck cancer. Amplifications at 8q24 at the location of cMYC were detected in two cases, however, 21 cases with gains indicated a 1 Mbp common region of overlap at 8q24.3, harbouring PTP4A3 but not cancer-related genes as cMYC or FAK. The protein encoded by PTP4A3 belongs to a class of protein tyrosine phosphatases, which stimulates progression from G1 into S phase during mitosis. Interestingly, PTP4A3 overexpression in CRC has been related to the development of distant metastasis (38). Gains of PTP4A3 were also detected in this study by MLPA, and was observed more frequently in tumours with metastasis (Fisher exact, $p=0.058$), with more advanced stages (Fisher exact, $p=0.033$), and with intracranial invasion (Fisher exact, $p=0.054$). Chromosome 20q showed two regions with recurring gains, 20q11 and 20q13.3. PTPN1 at 20q13 and BCL2L1 at 20q11 in the MLPA analysis concurred with the microarray CGH data. Both these regions have also been implicated in CRC and have been associated with liver metastases and poor outcome (39,40). PTPN1 (or PTP1B) is a non-receptor protein-tyrosine phosphatase involved in many cellular signaling pathways, including EGFR and ERK. It may function as a suppressor but also as a promoter of neoplastic transformation. Gene amplification and protein overexpression in up to 72% of cases have been reported in breast cancer, especially in Her2/neu-positive tumours (41). In our series of ITACs, gains of PTPN1 were found more frequently in tumours with intracranial invasion and with worse overall survival, although not statistically significant (Fisher exact $p=0.078$ and $p=0.093$, respectively). Other high level amplifications were found at 8p11 (3 cases), 11p13, 19p13 and 6q23 (2 cases). A cancer-related gene at 8p11 may be FGFR1. Apart from the two cases with amplification, MLPA identified 6 other cases with FGFR1 copy number gain. One previous study using FISH on sinonasal tumours revealed FGFR1 amplifications, but only in squamous carcinomas and not in ITAC (42). The two amplifications at 6q23 included the site of the oncogene cMYB. MLPA did not confirm these amplifications, but did indicate 8 cases with copy number gain, suggesting it may play a role in ITAC. Activation of cMYB can also be caused by chromosomal translocation, as has been shown in adnoid cystic carcinoma (43,44), however, we are not aware of reports on cMYB translocations in ITAC. Finally, the amplifications at 11p13 and 19p13 were not confirmed by MLPA, as none of the probes localized to these regions. The 5-year overall survival of 41% in our series is low compared to other recently published series that reported 40-60% survival or better (6-13), although we observed a trend toward a better

survival rate over the years (Figure 1). We believe the patients included in this study do reflect correctly the clinical characteristics known of ITAC, for example the prognostic value of histological subtype and disease stage (Figure 1). Therefore we were confident that our series allowed for univariate survival analysis according to the detected genetic alterations; multivariate analysis was not feasible because of the relatively low number of cases.

Chromosomal loss at 4q32-ter was notably correlated with worse clinical outcome ($p=0.000$), as did gains at 1q22, 3q29 and 6p22 to a lesser extent (Figure 4). Unfortunately, none of the 65 genes in the MLPA analysis localized in these regions, and we cannot speculate on specific genes that may be the drivers. Loss of 4q32-ter not only correlated with poor overall survival, but also with established clinical prognostic factors, as tumour stage, intracranial invasion and the development of recurrences. Among the MLPA results, loss of TIMP-2 (17q25) correlated with several poor overall survival, advanced tumour stage and intracranial invasion, and was observed more frequently in the mucinous type. TIMP-2 is a tissue inhibitor of metalloproteinases and has been related to poor prognosis in other studies (45-47), however, more investigation is needed to clarify a possible role in ITAC.

Conclusion

ITACs generally show complex karyotypes as do most solid tumours. Papillary, colonic, solid and mucinous subtypes of ITAC did not appear genetically different from each other. Diploid tumours harboured a significantly lower number of chromosomal abnormalities and had more favourable clinical features. The most frequent gains were found at 5p13, 7p12 (EGFR), 8q24 (cMYC and PTP4A3) and 20q13 (PTPN1) and losses at large regions of chromosome arms 5q, 8p and 18q. Four chromosomal gains and losses and two specific genes showed prognostic value and may be involved in tumour progression.

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Authorship contribution

JPE: maCGH and MLPA, statistics, overall data interpretation, writing manuscript. ALH: maCGH, critical lecture manuscript. MC: Clinical data collection, critical lecture manuscript. FL: Patient and clinical data collection, critical lecture manuscript. SPA: Tissue sample processing, DNA extraction. BV: Histopathological

evaluation, critical lecture manuscript. JLL: Study design, Patient collection, critical lecture manuscript. MAH: Study design, statistics, overall data interpretation, writing manuscript.

Conflict of interest

All the authors certify that they have no conflict of interest or financial relationship with any entity mentioned in the paper.

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